



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Shipp, Margaret et al.

Serial No.: 09/830762

Filed: April 27, 2001

For: LYMPHOMA ASSOCIATED MOLECULES  
AND USES THEREFOR

Attorney Docket No.: DFN-031US

Group Art Unit: 1645

Examiner:

RECEIVED  
MAY 13 2002  
TECH CENTER 1600/2900

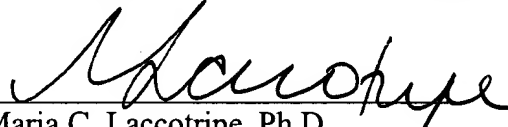
Commissioner for Patents  
Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

May 7, 2002  
Date of Signature and of Mail Deposit

By:

  
Maria C. Laccotripe, Ph.D.  
Attorney for Applicants  
Limited Recognition Under 37 CFR  
§10.9(b)

INFORMATION DISCLOSURE STATEMENT

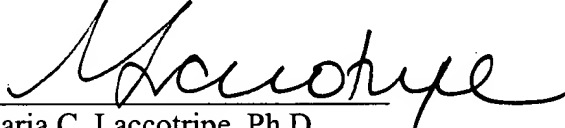
Dear Sir:

Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

  
\_\_\_\_\_  
Maria C. Laccotripe, Ph.D.  
Attorney for Applicants  
Limited Recognition Under 37 CFR §10.9(b)

28 State Street  
Boston, MA 02109  
(617) 227-7400

Date: May 7, 2002

AEM/DFS/MCL/ham  
Enclosures



LAHIVE  
&  
COCKFIELD  
L L P

COUNSELLORS AT LAW  
28 STATE STREET  
BOSTON, MASSACHUSETTS 02109-1784  
TELEPHONE (617) 227-7400  
FAX (617) 742-4214  
lc@lahive.com

JOHN A. LAHIVE, JR. (1928-1997)  
THOMAS V. SMURZYNSKI  
RALPH A. LOREN  
GIULIO A. DeCONTI, JR.  
ANN LAMPORT HAMMITTE  
ELIZABETH A. HANLEY  
AMY BAKER MANDRAGOURAS  
ANTHONY A. LAURENTANO  
KEVIN J. CANNING  
JANE E. REMILLARD  
DeANN FORAN SMITH  
PETER C. LAURO  
JEANNE M. DIGIORGIO  
DEBRA J. MILASINCIC, Ph.D.  
DAVID J. RIKKERS  
DAVID R. BURNS  
JOHN S. CURRAN  
SEAN D. DETWEILER

CYNTHIA L. KANIK, Ph.D.  
MEGAN E. WILLIAMS, Ph.D.  
RICHA NAND  
MICHAEL PHILLIPPS \*  
LISA M. DIROCCO  
HATHAWAY P. RUSSELL \*\*  
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.  
PETER A. DIMATTIA  
VINCENT P. LOCCISANO  
MERIDETH C. ARNOLD

SENIOR COUNSEL  
JAMES E. COCKFIELD

OF COUNSEL  
JEREMIAH LYNCH  
WILLIAM A. SCOFIELD, JR.  
SIBLEY P. REPPERT

PATENT AGENTS  
THEODORE R. WEST  
SHAYNE W. HUFF, Ph.D.  
DANIEL B. KO

TECHNICAL SPECIALISTS  
CYNTHIA M. SOROOS  
PETER W. DINI, Ph.D.  
EUIHOON LEE  
JENNIFER K. ROSENFELD  
ALLAN TAMESHTIT, Ph.D.  
CATHERINE E. McPHERSON  
ERIC F. WAGNER, Ph.D.  
SHAHID HASAN, Ph.D.  
JACOB G. WEINTRAUB  
JONATHAN M. SPARKS, Ph.D.  
CRISTIN E. HOWLEY, Ph.D.

\* Admitted in NY only  
\*\* Admitted in TX only

May 7, 2002

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/830762  
For: LYMPHOMA ASSOCIATED MOLECULES AND USES THEREFOR  
Inventors: Shipp, Margaret et al.  
Filed: April 27, 2001  
Our Ref. No.: DFN-031US

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (19);
4. A Statement of Limited Recognition Under 37 CFR §10.9(b); and
5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231 on:

May 7, 2002

Date

Maria C. Laccotripe, Ph.D., Limited Recognition Under 37 CFR §10.9(b)

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

Maria C. Laccotripe, Ph.D.  
Attorney for Applicants  
Limited Recognition Under 37 CFR §10.9(b)

RECEIVED  
MAY 13 2002  
TECH CENTER 1600/2900

1645  
#8  
NE